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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 5 . 2004.

Attorney for Applicant(s)

PATENT APPLICATION Docket No.: SVL920030100US1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant(s): | D'Angelo et al.   | )                      |
|---------------|---|------------------------|
| Serial No.:   | Not yet assigned  | )                      |
| Filing Date:  | January 5, 2004   | ) Group Art<br>) Unit: |
| For:          | APPARATUS AND METHOD FOR CONTEXT-<br>SENSITIVE ERROR EVENT NOTIFICATION | )                      |

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

- A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
- 2. A legible copy of each publication and other item of information in written form listed on the enclosed Form PTO-1449.

### NON-ENGLISH INFORMATION

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content of the information), of each listed patent, publication or other information that is not in the English language.

### JP2001052021A

PROBLEM TO BE SOLVED: To efficiently retrieve an electronic mail address, which is related to link information to hypertext information meeting a specific purpose, from massive information on the internet.

SOLUTION: Acquisition of HTML document data, conversion of acquired HTML document data to text data, extraction of an URL, extraction of an electronic mail address, and acquisition of HTML document data in the hyper-link destination are repeated in an information retrieval system 12. In this case, HTML document data, from which the electronic mail address is extracted by a mail address extraction part 122, in an acquired HTML document data group is sent to an index server 14 together with information of the corresponding URL and the electronic mail address and is made into an index to generate a while text retrieval data base 15.

#### JP2003005827A

PROBLEM TO BE SOLVED: To provide a remote monitoring system which can reliably send a state notice showing that abnormality has occurred in an object to be monitored to a terminal carried by a person in charge of maintenance and in which an operation is simple in acquiring information about the object to be monitored and remotely controlling the object to be monitored.

SOLUTION: In a monitor and control apparatus Ki provided in each gap filler Gi, a data collecting part 10 periodically collects monitoring information (radio wave transmitting and receiving level, temperature in housing, etc.), of the gap filler Gi through a monitoring IF part 2. In the case abnormality is detected on the basis of the monitoring information, a mail preparing part 12 prepares e-mail (state notice) for notifying that the abnormality is detected, and a mail server 13 directly distributes the state notice not only to a central management center through a NIF part 4 but also to the maintenance terminal carried by the person in charge of maintenance. The state notice includes a URL displayed by a hyperlink to a web page provided by a web server 16 of the monitor Ki.

### JP2003067531A

PROBLEM TO BE SOLVED: To provide a system allowing users to immediately see whether or not they have won prizes, thereby drawing more users.

SOLUTION: The system includes at least a mail server, a delivery data insertion means for inserting data into the e-mail transmitted, and a delivery data storage means for storing and managing the data inserted by the delivery data insertion means and delivery settings data. The delivery settings data include data about users to be e-mailed, information about data providers, data about the number of the users to be e-mailed, data about the number of winners, and data showing other delivery setting conditions. A WEB server is provided with a data area for storing WEB contents for each of the delivery data provided by the data providers, and in the data area a WEB page for winners and another WEB page for losers are provided in such a way that the pages can be accessed from users' terminals. The delivery data insertion means carries out the process of inserting data including hyperlinks to the WEB page for the losers into the e-mails delivered to the addresses of the users to be e-mailed and the process of inserting data including the hyperlinks to the WEB page for the winners into the e-mails delivered to the addresses of the winners.

# Respectfully submitted,

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Date: January 5, 2004

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| FORM PTO-1449  |  | SERIAL NO.                       | ATTORNEY DOCKET NO. |  |
|--|--|----------------------------------|---------------------|--|
|  |  | Not yet assigned                 | SVL920030100US1     |  |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary) |  | FILING DATE<br>January 5, 2004   | GROUP ART UNIT      |  |
|  |  | APPLICANT(S):<br>D'Angelo et al. | *                   |  |

### REFERENCE DESIGNATION

### **U.S. PATENT DOCUMENTS**

| EXAMINE<br>R<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE       | NAME      | CLASS/<br>SUBCLASS | FILING<br>DATE |
|-------------------------|----|--------------------|------------|-----------|--------------------|----------------|
|                         | A1 | 6,526,524          | 02/25/2003 | Kelley    | 714/38             | 09/29/1999     |
|                         | A2 | 6,617,969          | 09/09/2003 | Tu et al. | 340/517            | 06/20/2001     |
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### **FOREIGN PATENT DOCUMENTS**

| EXAMINE |    | DOCUMENT      |            |         | CLASS/    | TRANSLATION |    |
|---------|----|---------------|------------|---------|-----------|-------------|----|
| INITIAL |    | NUMBER        | DATE       | COUNTRY | SUBCLASS  | YES         | NO |
|         | A4 | JP2001052021A | 02/23/2001 | JAPAN   | G06F17/30 |             |    |
|         | A5 | JP2003005827A | 01/08/2003 | JAPAN   | G05B23/02 |             |    |
|         | A6 | JP2003067531A | 03/07/2003 | JAPAN   | G06F17/60 |             |    |

### **NON-PATENT DOCUMENTS**

| EXAMINE<br>R<br>INITIAL |   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages |
|-------------------------|---|--|
|                         | _ |  |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
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